PTO/SB/17 (10-08)
Approved for use through 06/30/2010. OMB 0651-0032
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| | | | | Complete if Known | | | | | |
|---|--------------------------------|-----------------------|---------------------------|--------------------------------------|-----------------------------|--|------------------------|--------------|--|
| Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL | | | | | | • | 10/590,269-Conf. #6070 | | |
| | | | | | Filing Date August 22, 2006 | | | | |
| | | | | First Named Inv | entor | Tadao KYOMOTO | | | |
| For FY 2009 | | | | Examiner Name | | M. E. Bowman | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | Art Unit 2879 | | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 180.00 | | | Attorney Docket No. | | 1152-0328PUS1 | | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | | | |
| | | | | | | | | | |
| Check Credit Card Money Order None Other (please identify): | | | | | | | | | |
| x Deposit Account Deposit Account Number: 02-2448 Deposit Account Name: Birch, Stewart, Kolasch & Birch, LLP | | | | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | | | | | | |
| x Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee | | | | | | | | | |
| X Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 | | | | | | | | | |
| FEE CALCULATION | | | | | | | | | |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES | | | | | | | | | |
| | FIL | ING FEES | SE | ARCH FEES | EXAMI | NATION FEES | | | |
| Application T | ype Fee (\$) | Small Entity Fee (\$) | Fee (\$ | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fees F | Paid (\$) | |
| Utility | 330 | 165 | 540 | 270 | 220 | 110 | | | |
| Design | 220 | 110 | 100 | 50 | 140 | 70 | | | |
| Plant | 220 | 110 | 330 | 165 | 170 | 85 | | . | |
| Reissue | 330 | 165 | 540 | 270 | 650 | 325 | | | |
| Provisional | 220 | 110 | 0 | 0 | 0 | 0 | | | |
| 2. EXCESS CLAIM FEES | | | | | v | v | | Small Entity | |
| Fee (\$) Fee (\$) | | | | | | | | | |
| Each claim over 20 (including Reissues) | | | | | | | 52 | 26 | |
| Each independent claim over 3 (including Reissues) | | | | | | 220 | 110 | | |
| Multiple dependent claims 390 195 | | | | | | | | | |
| Total Claims | otal Claims | | ee Paid (\$) Multiple Dep | | <u>Multiple Depende</u> | ndent Claims | | | |
| | - or HP = | | | | <u> </u> | <u>ee (\$) </u> | ee Paid (\$ | <u>)</u> | |
| _ | nber of total claims paid for, | | _ | - D-1-1 (A) | | | | | |
| Indep. Claims | Extra Claims | Fee (\$) | | ee Paid (\$) | | | | | |
| - or HP = X = HP = highest number of independent claims paid for, if greater than 3. | | | | | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | | | | |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer | | | | | | | | | |
| listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) | | | | | | | | | |
| | | | | | | | | | |
| 4. OTHER FEE(S) Fees Paid (\$) | | | | | | | | | |
| Non-English Specification, \$130 fee (no small entity discount) | | | | | | | | | |
| Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00 | | | | | | | | | |
| SUBMITTED BY | | | | Desire | | | | | |
| Signature | /11/ | (reg. # 40 | .4/51 | Registration No. (Attorney/Agent) | 39,491 | Telephone | (703) 20 | 5-8000 | |
| Name (Print/Type) Michael R. Cammarata | | | | | | Date | April 17 | , 2009 | |
| | tw | | | | | | | | |

Docket No.: 1152-0328PUS1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Tadao KYOMOTO et al.

Application No.: 10/590,269

Confirmation No.: 6070

Filed: August 22, 2006

Art Unit: 2879

For: LIGHT SOURCE DEVICE AND VIDEO

DISPLAY APPARATUS USING THE SAME

Examiner: M. E. Bowman

INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FINAL REJECTION)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Madam:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II. COPIES

Copies of cited U.S. patents and patent application publications are not included. <u>Copies of foreign patent documents and non-patent literature are included.</u>

Application No.: 10/590,269 Docket No.: 1152-0328PUS1 III. **CONCISE EXPLANATION OF THE RELEVANCE** (Check at least one box) DOCUMENTS IN THE ENGLISH LANGUAGE - Some or all of the patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy. \bowtie b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows: Concise English explanations have been provided for JP11-162417 and JP2001-316638, respectively. П c. ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3). d. OTHER - The following additional information is provided for the Examiner's consideration. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(d): After the mailing date of a Final Action under § 1.113. See the Statement Under 37 C.F.R. § 1.97(e). IV. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box) The undersigned hereby states that: Each item of information contained in the IDS was first cited in any

communication from a foreign Patent Office in a counterpart foreign application not more

than 30 days prior to the filing of this IDS; or

Application No.: 10/590,269 Docket No.: 1152-0328PUS1

- C. No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
- Datent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

V. <u>PAYMENT OF FEES</u> (check one box)

The required fee is listed on the attached Fee Transmittal.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

Application No.: 10/590,269 Docket No.: 1152-0328PUS1

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: April 17, 2009

Respectfully submitted,

Michael R. Cammarata

Registration No.: 39,491

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Attachment(s):

PTO-SB08

Documents

Foreign Search Report

Fee Other: